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Attorney Docket No: C1037.70041US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Arthur M. Krieg  
Serial No.: 10/613,749  
Confirmation No.: 6452  
Filed: July 3, 2003  
For: NULEIC ACID COMPOSITIONS FOR STIMULATING IMMUNE RESPONSES

Examiner: Not yet assigned  
Art Unit: 1623

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 28 day of October, 2003.

Helen C. Lockhart

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL NOTICE TO FILE MISSING PARTS**

Sir:

Transmitted herewith are the following document(s):

- [X] Copy of Notice to File Missing Parts of Nonprovisional Application;
- [X] Response to Notice to File Missing Parts;
- [X] Preliminary Amendment
- [X] Executed Declaration for Patent Application;
- [X] Fee Calculation Sheet;
- [X] Check in the amount of \$1,724.00 for filing, extra claim and surcharge fees;
- [X] Return Receipt Postcard.


If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$1,724.00 is enclosed for the filing, extra claim and surcharge fees. If the fees are insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

*Arthur M. Krieg, Applicant*



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Helen C. Lockhart, Reg. No. 39,248  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210  
Tel: (617) 720-3500

Docket No.: C1037.70041US00  
Date: October 18, 2003  
**x12/16/03**



Docket No. C1037.70041US00

Inventor(s): Arthur M. Krieg

Serial No: 10/613,749

Confirmation No.: 6452

Filed: July 3, 2003

CHECK BOX, if applicable:

For: NUCLEIC ACID COMPOSITIONS FOR STIMULATING  
IMMUNE RESPONSES☐ DUPLICATE

## Fee Calculation Sheet

| CLAIMS | FOR   | NUMBER FILED | NUMBER EXTRA | RATE                          | FEE         |
|--------|---|--------------|--------------|-------------------------------|-------------|
|        | TOTAL CLAIMS<br>(37 CFR 1.16(c))  | 61-20=       | 41x          | \$ 18.00                      | = \$ 738.00 |
|        | INDEPENDENT<br>CLAIMS (37 CFR 1.16(b))                                    | 4-3=         | 1 x          | \$ 86.00                      | = \$ 86.00  |
|        | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +              |              |              | \$ 290.00                     | = \$ 0.00   |
|        |   |              |              | BASIC FEE<br>(37 CFR 1.16(a)) | \$ 770.00   |
|        | Fee for Petition for Extension of Time (if any)                           |              |              |                               | \$ 0.00     |
|        | Other Fees (if any)   |              |              |                               | \$ 130.00   |
|        | Total of above Calculations =   |              |              |                               | \$ 1,724.00 |
|        | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28) |              |              |                               | \$ 0.00     |
|        | Assignment Recordation Fee (if any)                                       |              |              |                               | \$ 0.00     |
|        | TOTAL =   |              |              |                               | \$ 1,724.00 |

1. A check in the amount of \$1,724.00 is enclosed.

**General Authorization to Charge Deposit Account and General Request for Extension of Time**

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16 or 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

b. ☒ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ 1.16 1.17 or 1.18.

3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Helen C. Lockhart, Ph.D., Reg. No. 39,248  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500Docket No. C1037.70041US00  
Date: October 28, 2003